

# Ch. 8 Quantum Mechanics

## References:

1. Young & Freedman, “University Physics”, 13<sup>th</sup> ed. Ch. 40
2. Halliday et al., “Principles of Physics”, 9<sup>th</sup> ed. Ch. 39

# Outline

- 8.1 Particle in a Box
- 8.2 Potential Wells
- 8.3 Potential Barriers and Tunneling
- 8.4 The Harmonic Oscillator
- 8.5 Three-Dimensional Problems

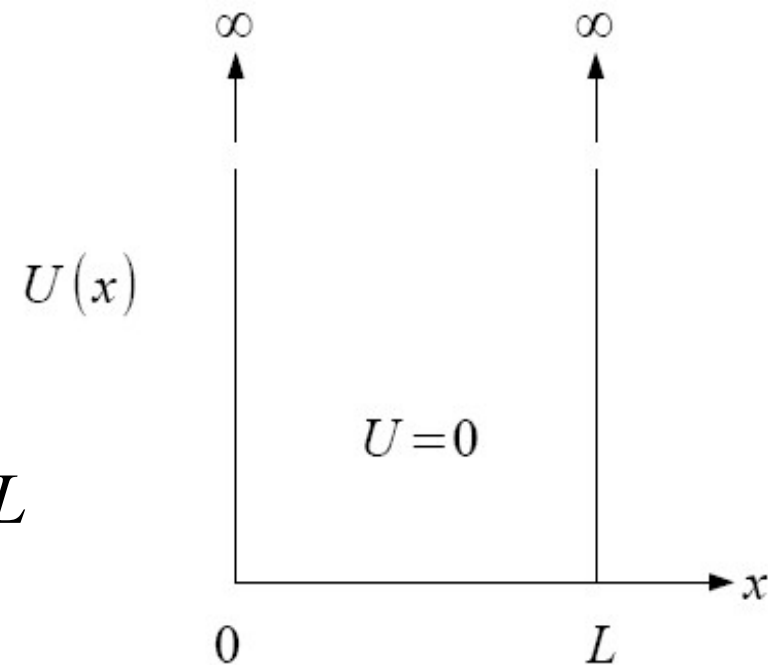
# 8.1 Particle in a Box

We want to solve the Schrödinger equation

$$-\frac{\hbar^2}{2m} \frac{d^2 \psi(x)}{dx^2} + U(x) \psi(x) = E \psi(x)$$

with the potential energy

$$U(x) = \begin{cases} 0 & \text{for } 0 \leq x \leq L \\ \infty & \text{for } x < 0 \text{ and } x > L \end{cases}$$



(infinite square well)

- ***Wave Function***

The particle is confined inside the box =>  $\psi(x)=0$  outside the box

1.  $\psi(x)$  must be continuous

=> Boundary conditions  $\psi(0)=\psi(L)=0$

2.  $d\psi/dx$  must also be continuous  
(except at the points where  $U = \infty$ )

Inside the box ( $U = 0$ ): 
$$-\frac{\hbar^2}{2m} \frac{d^2 \psi(x)}{dx^2} = E \psi(x)$$

General solution: 
$$\psi(x) = A e^{ikx} + B e^{-ikx} \quad (k = \sqrt{2mE}/\hbar)$$

Impose B.C.  $\Rightarrow$  
$$\psi(0) = A + B = 0 \quad \Rightarrow \quad B = -A$$

$$\psi(L) = A e^{ikL} + B e^{-ikL} = 0$$

$$\Rightarrow \quad A (e^{ikL} - e^{-ikL}) = 0$$

(assume  $E > 0$ )

$$2A \sin(kL) = 0$$

Recall:  $e^{i\theta} = \cos \theta + i \sin \theta$  ; 
$$\sin \theta = \frac{e^{i\theta} - e^{-i\theta}}{2i}$$

In order to have non-trivial solution ( $A \neq 0$ ):

$$\sin(kL) = 0 \quad \Rightarrow \quad kL = n\pi \quad (n = 1, 2, 3, \dots)$$

$$\Rightarrow \quad k = \frac{n\pi}{L} \quad \text{and} \quad \lambda = \frac{2\pi}{k} = \frac{2L}{n}$$

Wave function inside the box:

$$\begin{aligned} \psi_n(x) &= A e^{ikx} - A e^{-ikx} \\ &= C \sin(kx) = C \sin\left(\frac{n\pi x}{L}\right) \quad (C \equiv 2iA) \end{aligned}$$

Note: If  $E < 0$ ,  $k$  becomes imaginary ( $k = i\alpha$ )

$$\psi = A e^{-\alpha x} - A e^{+\alpha x}$$

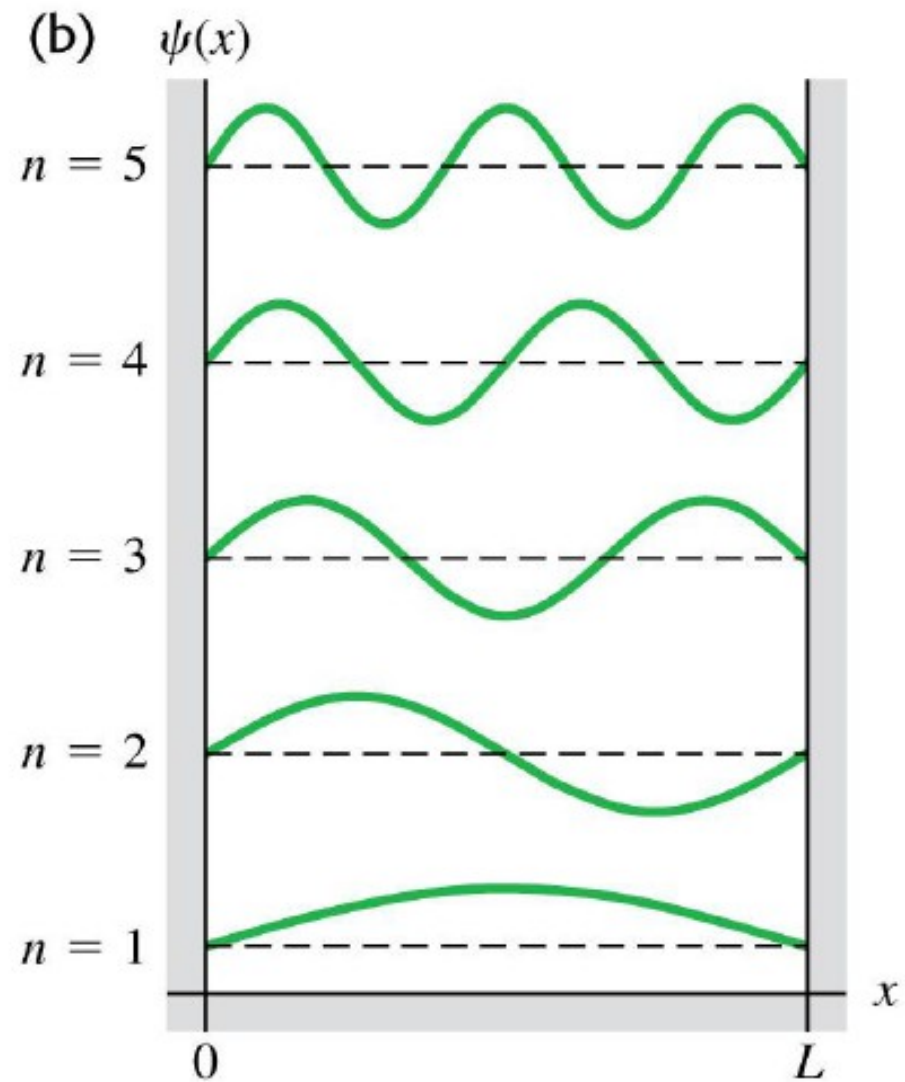
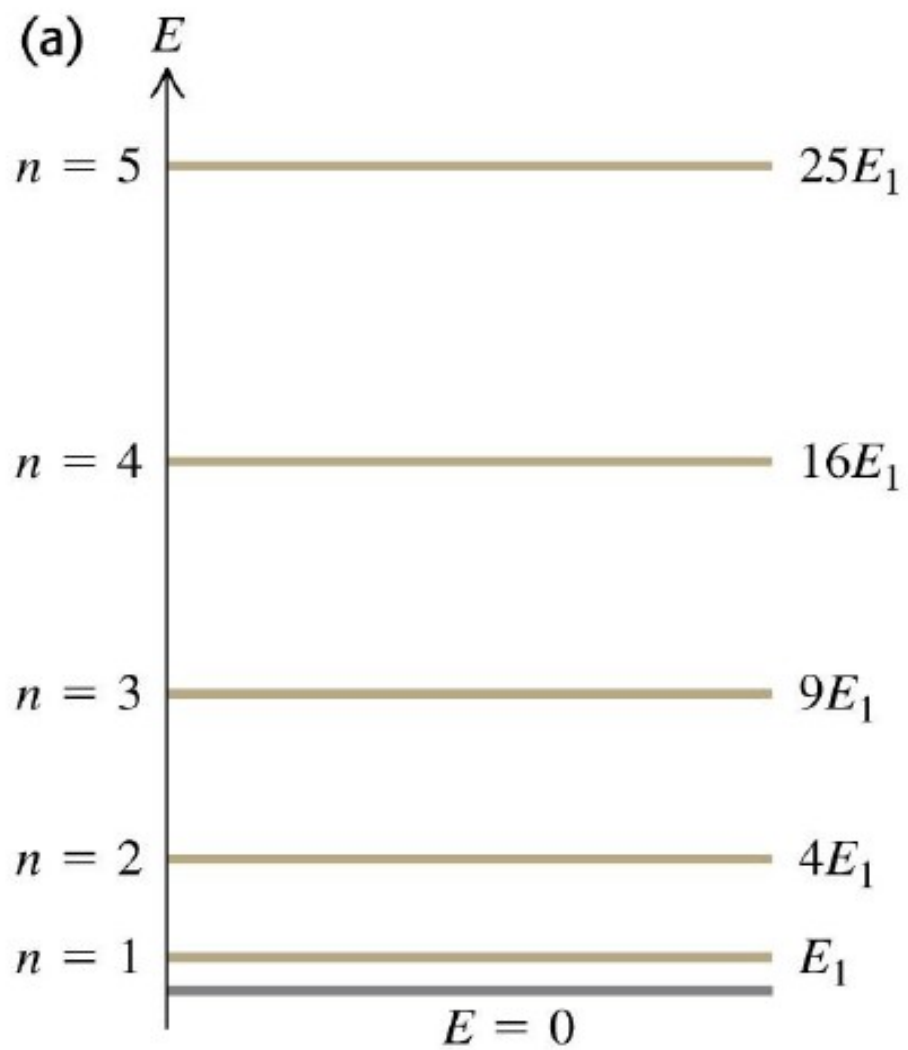
$$\text{B.C.: } \psi(L) = 0 \quad \Rightarrow \quad A = 0$$

- ***Energy Levels***

The possible energy levels are given by  $E = \frac{p^2}{2m} = \frac{(\hbar k)^2}{2m}$

$$k = \frac{n\pi}{L} \quad \Rightarrow \quad E_n = \frac{n^2 \pi^2 \hbar^2}{2m L^2} \quad (n = 1, 2, 3 \dots)$$

Note: Zero energy ( $n = 0$ ) is not allowed because the wave function would be zero.





## • **Probability and Normalization**

The particle must be somewhere in space:

$$\Rightarrow \int_{-\infty}^{+\infty} |\psi(x)|^2 dx = 1 \quad (\text{Normalization condition})$$

$$\text{Wave function: } \psi_n(x) = \begin{cases} C \sin\left(\frac{n\pi x}{L}\right) & \text{for } 0 \leq x \leq L \\ 0 & \text{for } x < 0 \text{ and } x > L \end{cases}$$

$$\Rightarrow C^2 \int_0^L \sin^2(n\pi x/L) dx = 1$$

Note: 
$$\int_0^L \sin^2(n\pi x/L) dx = \frac{1}{2} \int_0^L [1 - \cos(2n\pi x/L)] dx$$
$$= \frac{L}{2}$$

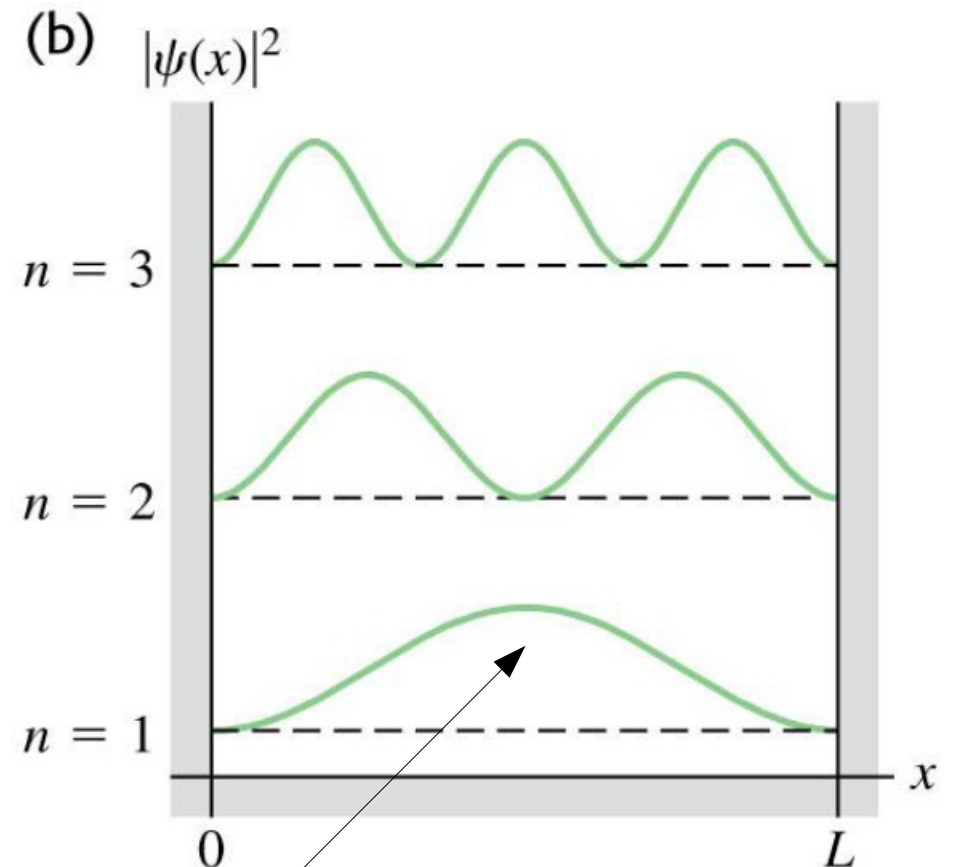
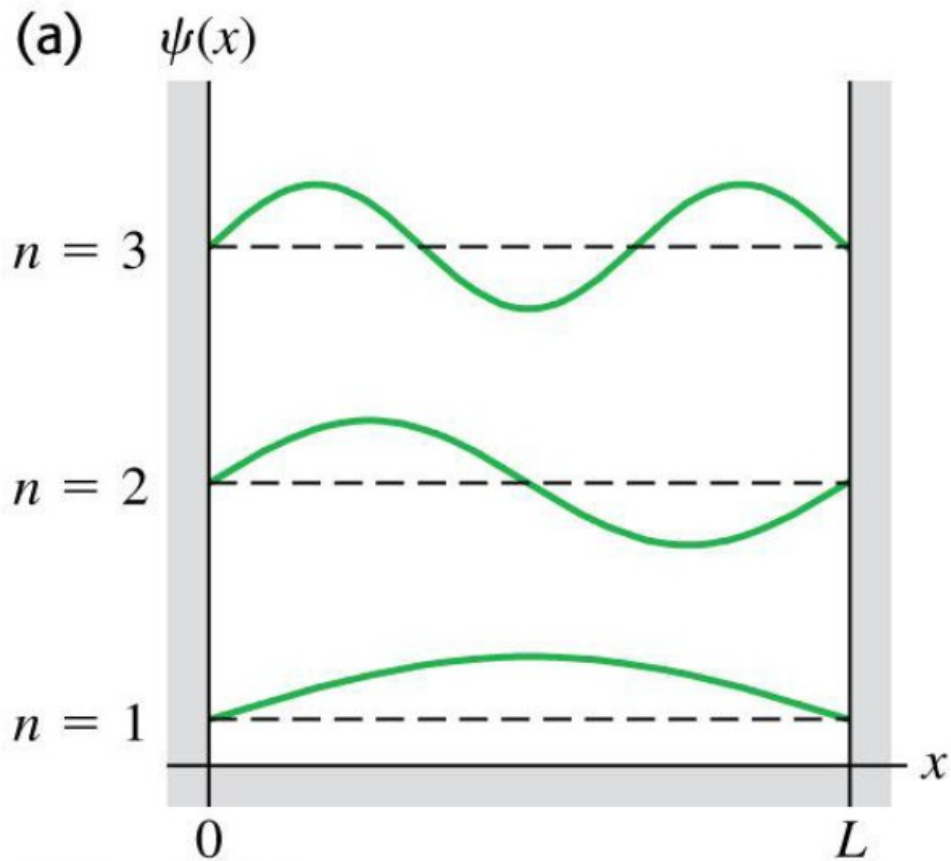
$$\Rightarrow C^2(L/2) = 1 \quad (C = \sqrt{2/L})$$

$$\psi_n(x) = \sqrt{\frac{2}{L}} \sin\left(\frac{n\pi x}{L}\right) \quad (n = 1, 2, 3, \dots)$$

(Normalized  
wave functions)

Recall:

$|\psi(x)|^2 dx =$  Probability of finding the particle in a small interval  $dx$  around the point  $x$

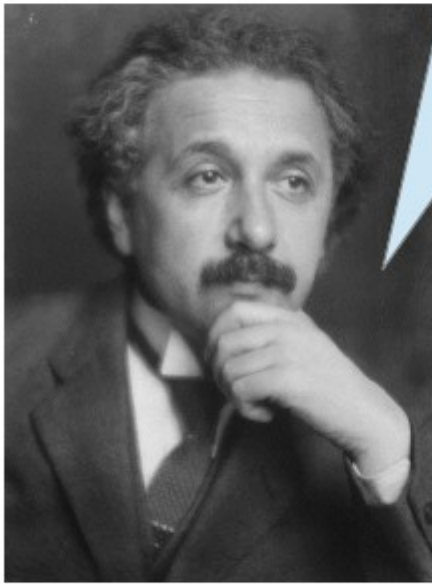


Max = Highest probability to find the particle there

- ***Indeterminacy***

In quantum physics, we cannot predict the outcome with certainty!

*God does not play dice!*



Albert Einstein  
(1879-1955)

*Einstein, stop telling  
God what to do!*



Niels Bohr  
(1885-1962)

- ***Time Dependence***

Recall: The time-dependent wave function for a stationary state:

$$\Psi(x, t) = \psi(x) e^{-iEt/\hbar}$$

=> For a particle in a box

$$\Psi_n(x, t) = \sqrt{\frac{2}{L}} \sin\left(\frac{n\pi x}{L}\right) e^{-iE_n t/\hbar} \quad (n = 1, 2, 3, \dots)$$

Note: The probability density does not depend on time

$$|\Psi_n(x, t)|^2 = |\psi_n(x)|^2$$

- ***Expectation Values***

For a normalized wave function  $\Psi(x, t)$ , we define

$$\langle x \rangle = \int_{-\infty}^{\infty} x |\Psi|^2 dx$$

(Expectation value  
of the position of  
a particle)

In general, we define the expectation value of any function  $F(x)$ :

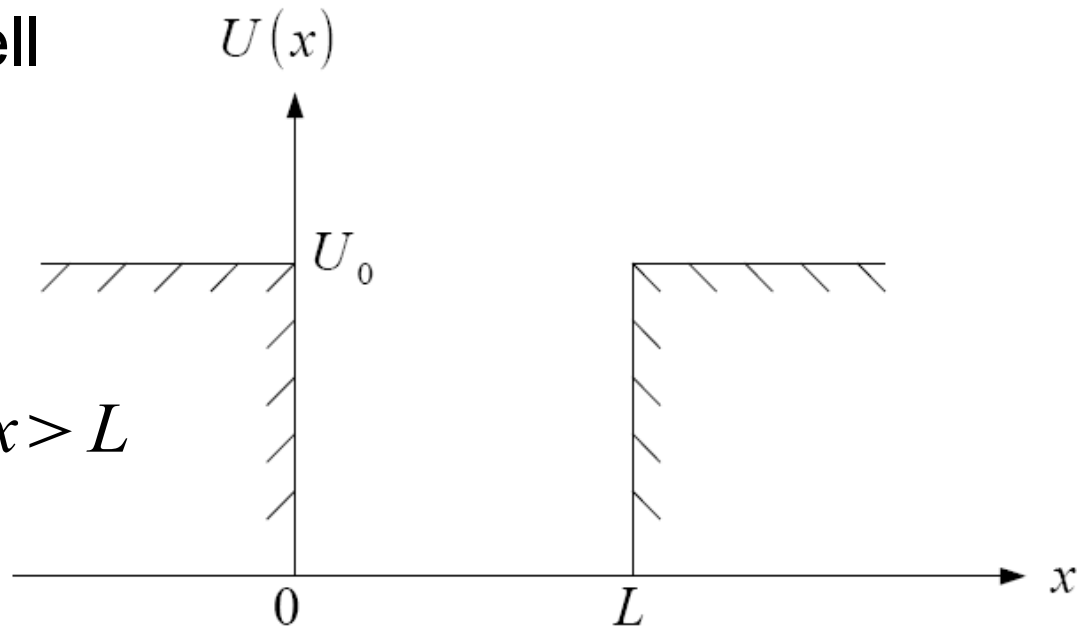
$$\langle F(x) \rangle = \int_{-\infty}^{\infty} F(x) |\Psi|^2 dx$$

# 8.2 Potential Wells

A potential well is a potential-energy function  $U(x)$  that has a **minimum**.

Example: Finite square well

$$U(x) = \begin{cases} 0 & \text{for } 0 \leq x \leq L \\ U_0 & \text{for } x < 0 \text{ and } x > L \end{cases}$$



- **Bound States of a Square-Well Potential**

Inside the well:  $U(x) = 0$

$$\Rightarrow \frac{d^2 \psi}{d x^2} = -k^2 \psi \quad (k = \sqrt{2 m E} / \hbar)$$

$$\psi(x) = A \cos k x + B \sin k x \quad \text{for } 0 \leq x \leq L$$

Outside the well:  $U(x) = U_0$

$$\frac{d^2 \psi}{d x^2} = \alpha^2 \psi \quad (\alpha = \sqrt{2 m (U_0 - E)} / \hbar)$$

$$\psi(x) = C e^{\alpha x} + D e^{-\alpha x}$$



Note:

1. the wave function should be **finite** everywhere

$$\Rightarrow \psi(x) = \begin{cases} C e^{\alpha x} & \text{for } x < 0 \\ A \cos kx + B \sin kx & \text{for } 0 \leq x \leq L \\ D e^{-\alpha x} & \text{for } x > L \end{cases}$$

2.  $\psi$  and  $d\psi/dx$  should be **continuous**

$$\text{At } x = 0 \quad \Rightarrow \quad \begin{aligned} C &= A && \text{(Eq.1)} \\ \alpha C &= k B && \text{(Eq.2)} \end{aligned}$$

$$\text{At } x = L \quad \Rightarrow \quad A \cos kL + B \sin kL = D e^{-\alpha L} \quad \text{(Eq.3)}$$

$$-k A \sin kL + k B \cos kL = -\alpha D e^{-\alpha L} \quad \text{(Eq.4)}$$

$$\text{(Eq.1) \& (Eq.2) } \Rightarrow B = \frac{\alpha}{k} C = \frac{\alpha}{k} A$$

$$\text{With (Eq.3) \& (Eq.4) } \Rightarrow A \left[ 2\alpha k + (\alpha^2 - k^2) \tan kL \right] = 0$$

We must have  $A \neq 0$ .

(otherwise  $A = B = C = D = 0 \Rightarrow \psi = 0$  for all  $x$ )

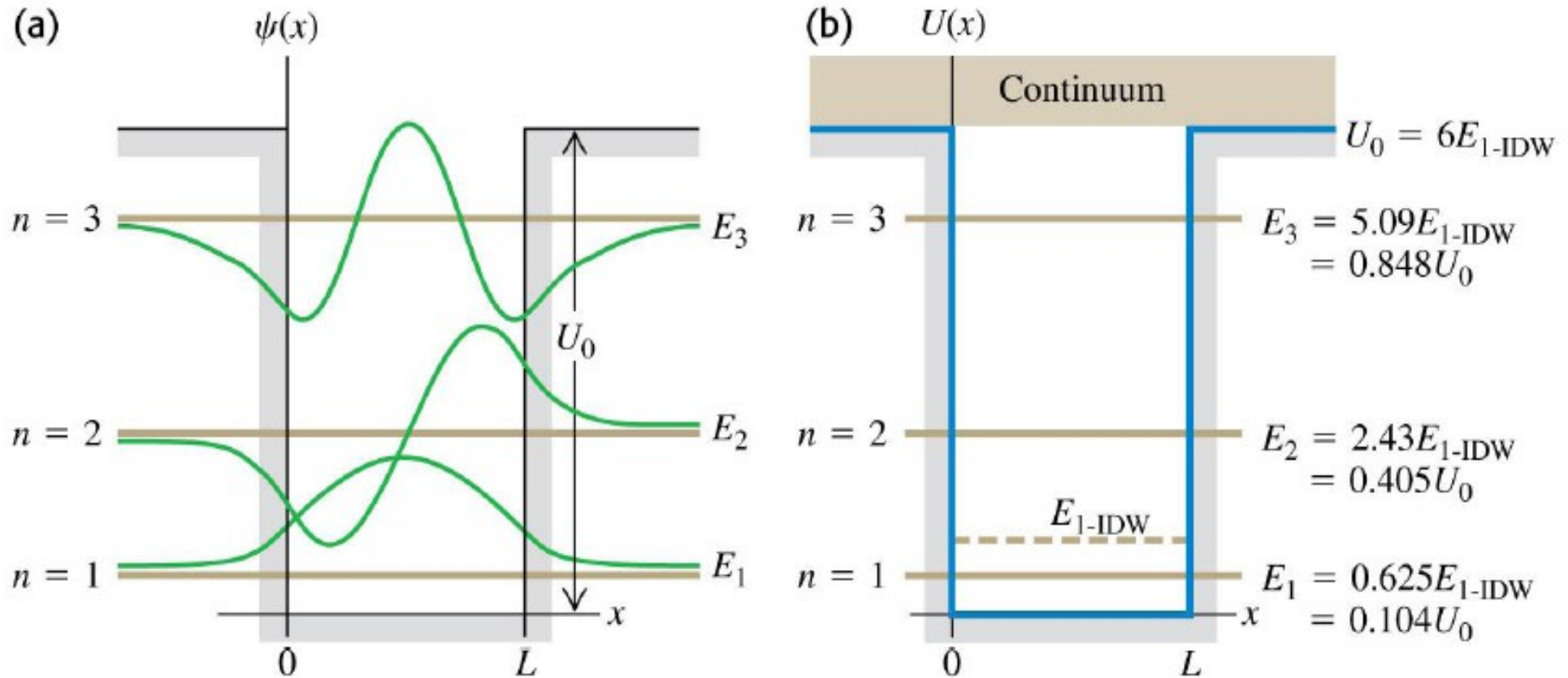
The **allowed energies** ( $E$ ) are determined by:

$$2\alpha k + (\alpha^2 - k^2) \tan kL = 0$$

where  $\alpha = \sqrt{2m(U_0 - E)}/\hbar$  ,  $k = \sqrt{2mE}/\hbar$

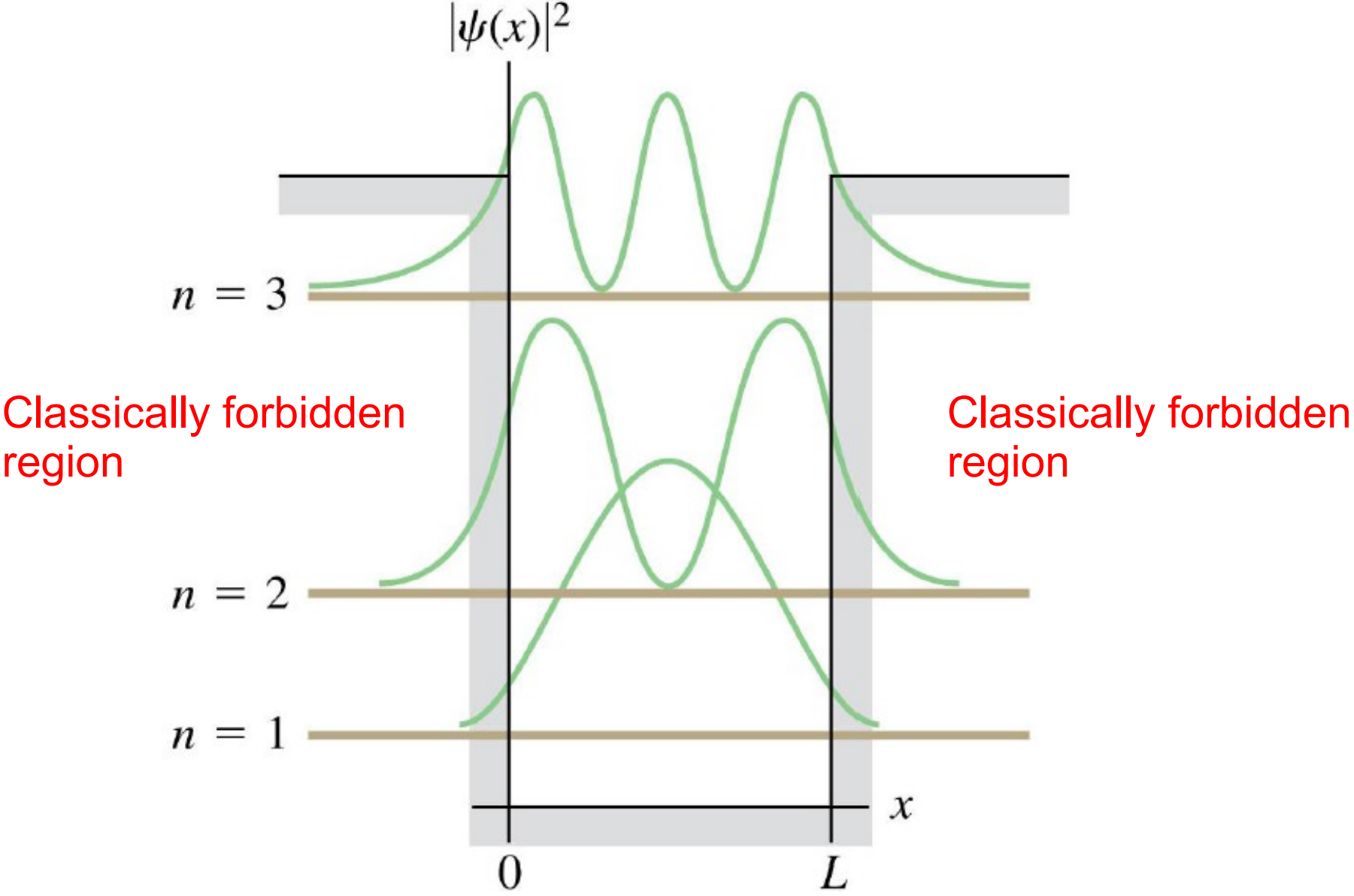
Note: This equation can be **solved numerically**.  
(we will not discuss the details)

Example: A finite square well with  $U_0 = 6 E_{1\text{-IDW}}$ .  
 (There are 3 bound states)



Ground-state energy for the infinity deep well:  $E_{1\text{-IDW}} = \frac{\pi^2 \hbar^2}{2 m L^2}$

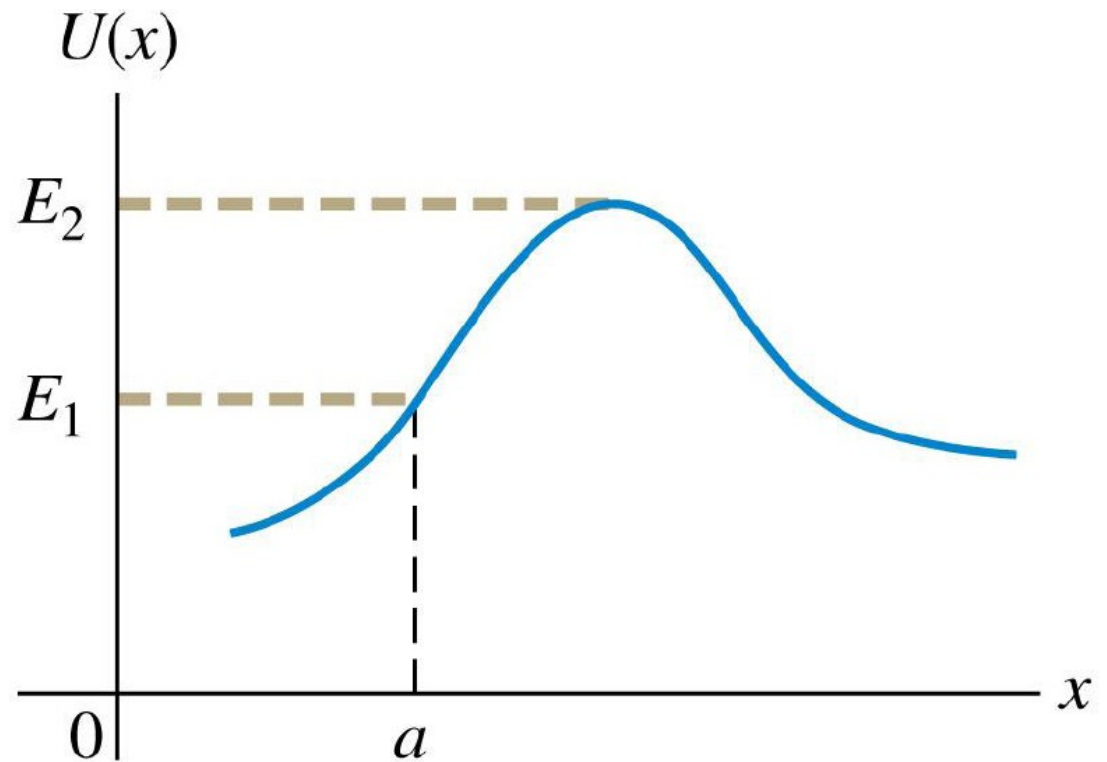
# Probability distribution function



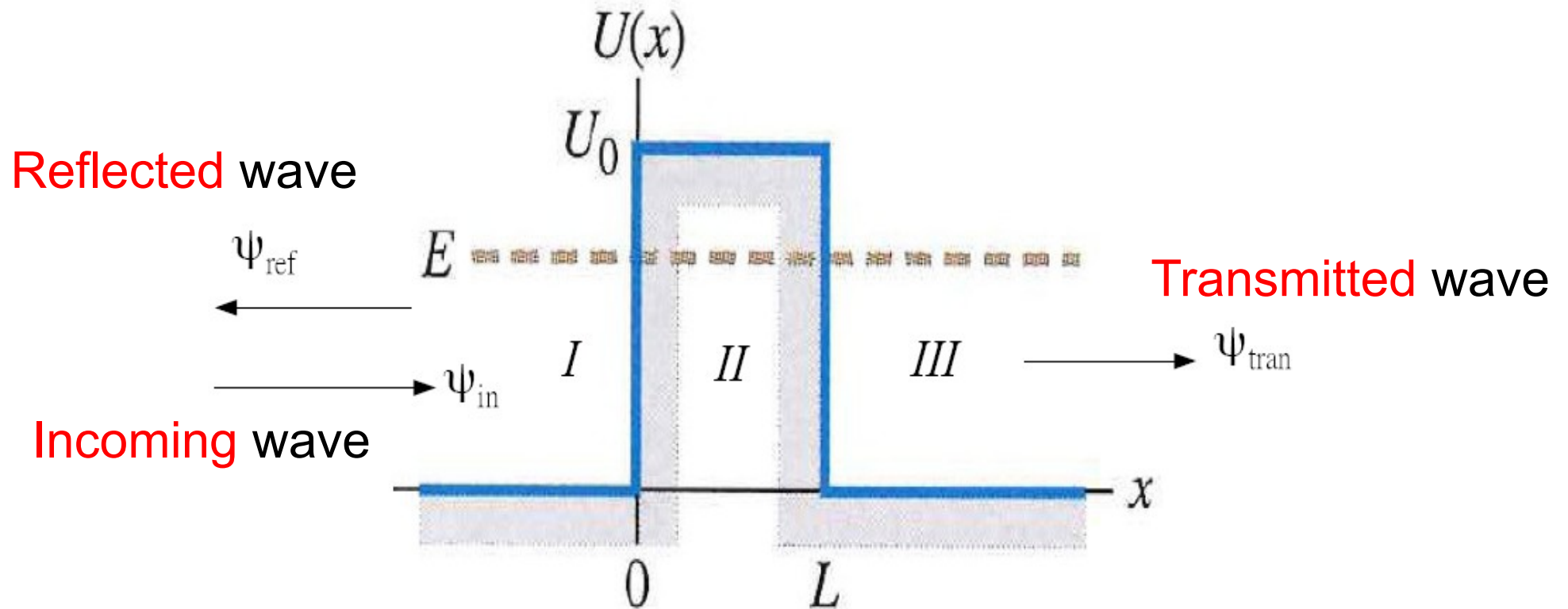
# 8.3 Potential Barriers and Tunneling

A potential barrier is a potential-energy function  $U(x)$  that has a **maximum**.

Quantum mechanics  
=> tunneling is possible



- **Tunneling Through a Rectangular Barrier**



In regions I and III : 
$$\frac{d^2 \psi}{d x^2} = -k^2 \psi \quad (k = \sqrt{2 m E} / \hbar)$$

In region II: 
$$\frac{d^2 \psi}{d x^2} = \alpha^2 \psi \quad (\alpha = \sqrt{2 m (U_0 - E)} / \hbar)$$
 22

In region *I*: 
$$\psi_I = A e^{ikx} + B e^{-ikx}$$
$$= \psi_{\text{in}} + \psi_{\text{ref}}$$

Note:  $\psi_{\text{in}} e^{-i\omega t} = A e^{i(kx - \omega t)}$

corresponds an incoming wave (particle) traveling from left to right

In region *II*: 
$$\psi_{II} = C e^{\alpha x} + D e^{-\alpha x}$$

In region *III*: There can only be a transmitted wave

$$\psi_{III} = F e^{ikx}$$

Boundary conditions:  $\psi$  and  $d\psi/dx$  continuous at  $x=0$  and  $L$

$$\text{At } x = 0: \quad \psi_{\text{I}} = \psi_{\text{II}} \quad , \quad \frac{d\psi_{\text{I}}}{dx} = \frac{d\psi_{\text{II}}}{dx}$$

$$\text{At } x = L: \quad \psi_{\text{II}} = \psi_{\text{III}} \quad , \quad \frac{d\psi_{\text{II}}}{dx} = \frac{d\psi_{\text{III}}}{dx}$$

The wave amplitudes are determined by these conditions.

### Main conclusion:

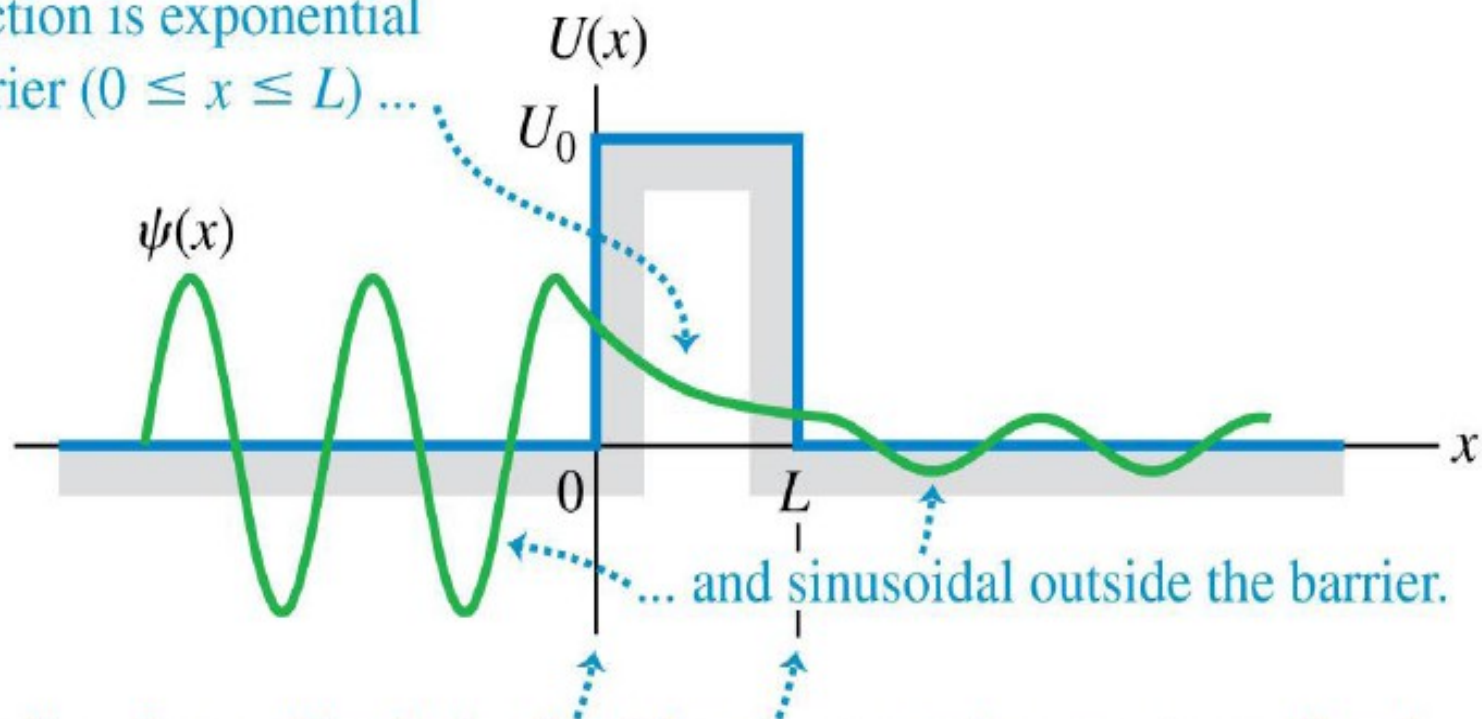
The probability  $T$  that the particle gets through the barrier is given approximately by (when  $T \ll 1$ )

$$T = G e^{-2\alpha L}$$

$$\text{where } G = 16 \frac{E}{U_0} \left( 1 - \frac{E}{U_0} \right) \quad ; \quad \alpha = \sqrt{2m(U_0 - E)} / \hbar$$



The wave function is exponential within the barrier ( $0 \leq x \leq L$ ) ...

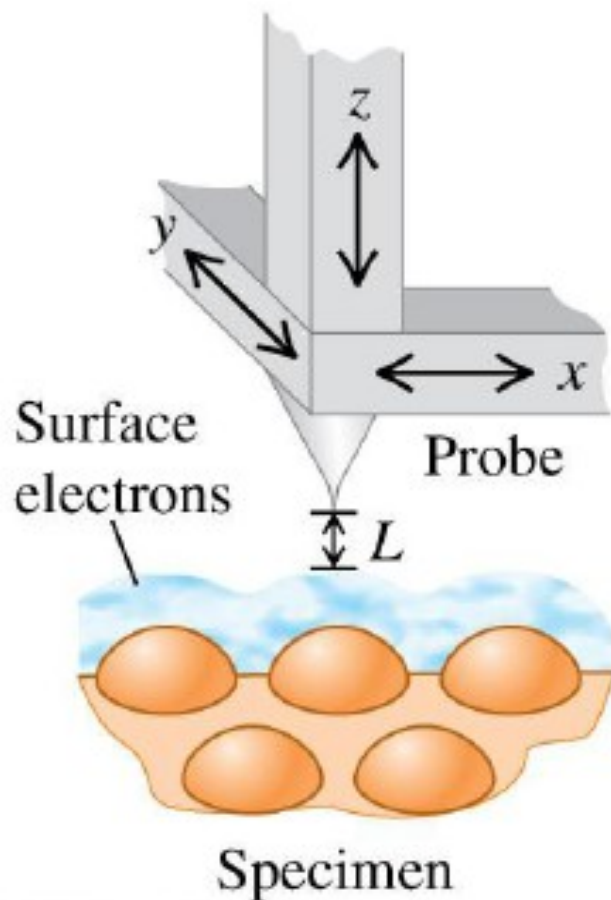


... and sinusoidal outside the barrier.

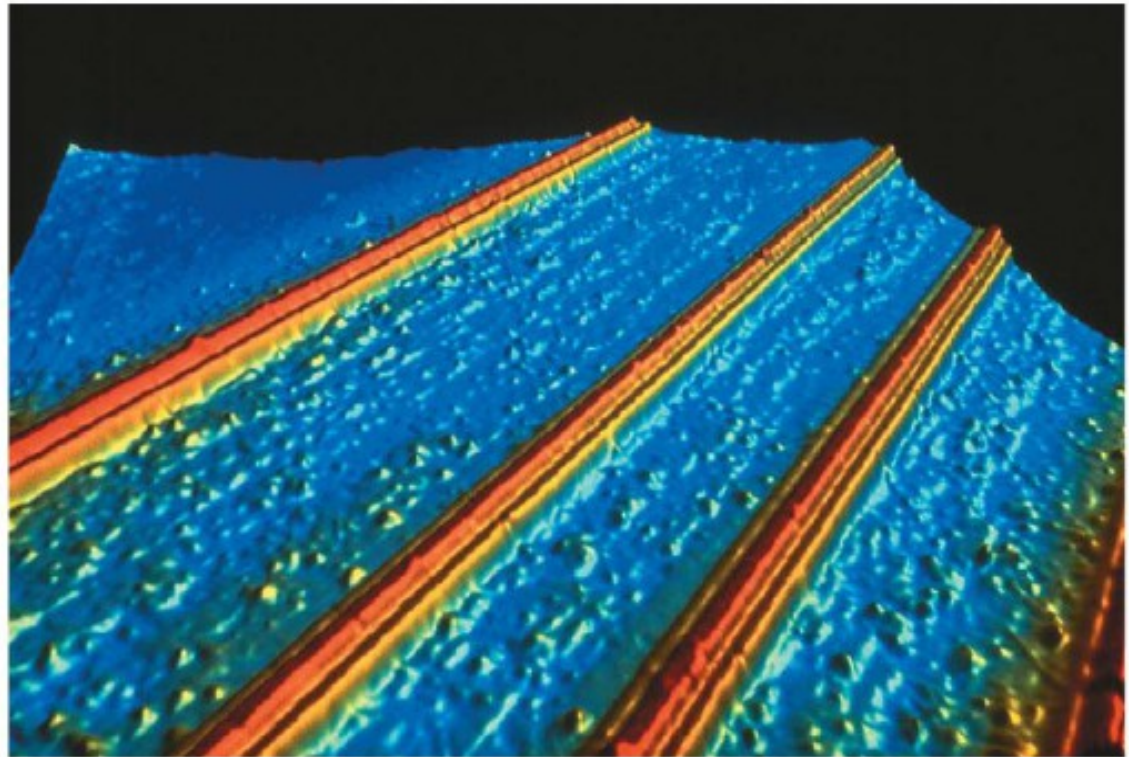
The function and its derivative (slope) are continuous at  $x = 0$  and  $x = L$  so that the sinusoidal and exponential functions join smoothly.

- ***Applications of Tunneling***

Scanning tunneling microscope:

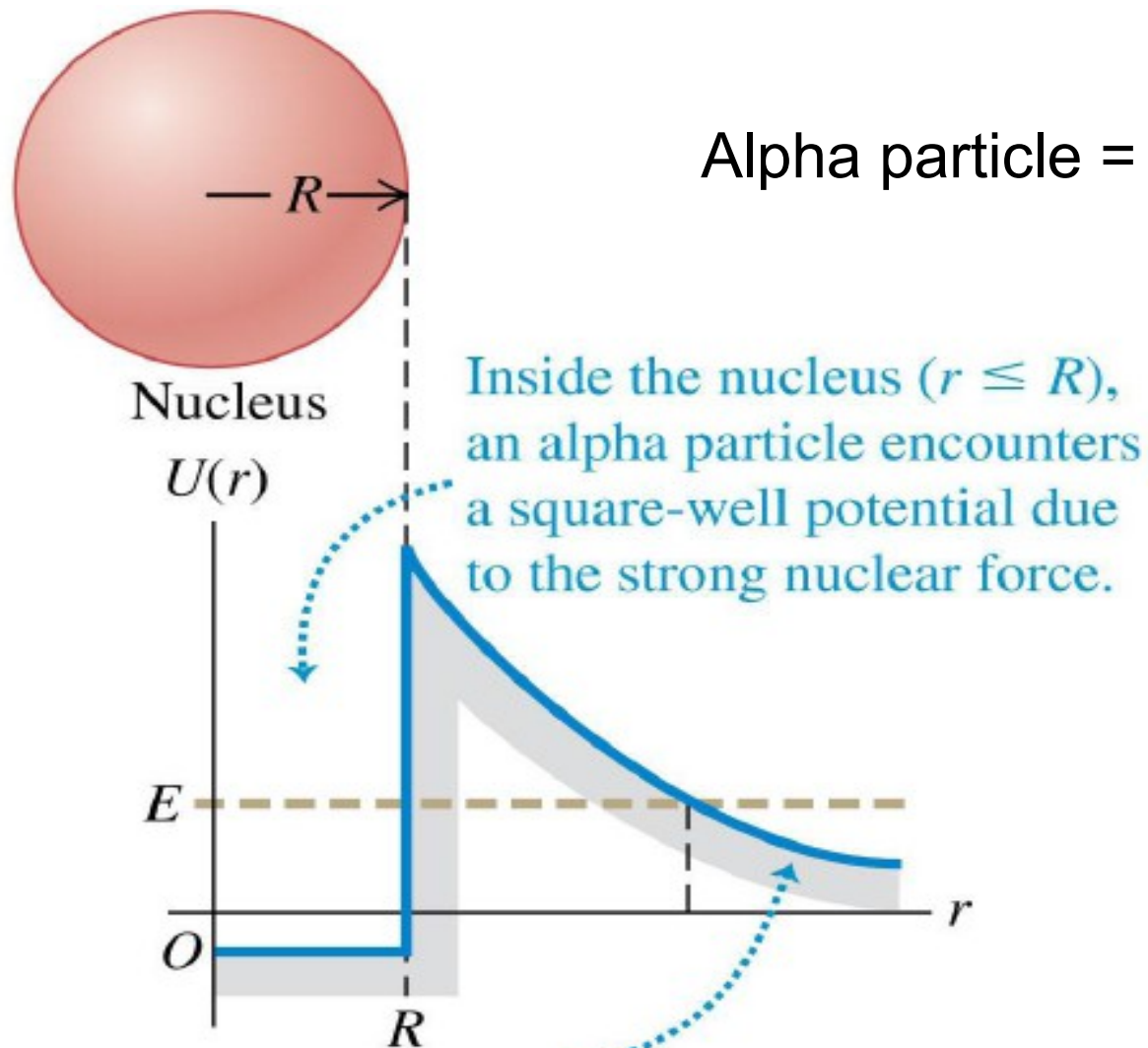


$L \sim 1 \text{ nm}$



This colored STM image shows "quantum wire": thin strip, just 10 atoms wide, of a conductive rare-earth silicide atop a silicon surface.

# Alpha decay:



Alpha particle = helium nucleus

Inside the nucleus ( $r \leq R$ ), an alpha particle encounters a square-well potential due to the strong nuclear force.

Outside the nucleus ( $r > R$ ), an alpha particle experiences a  $1/r$  potential due to electrostatic repulsion.



# 8.4 The Harmonic Oscillator

In Newtonian mechanics

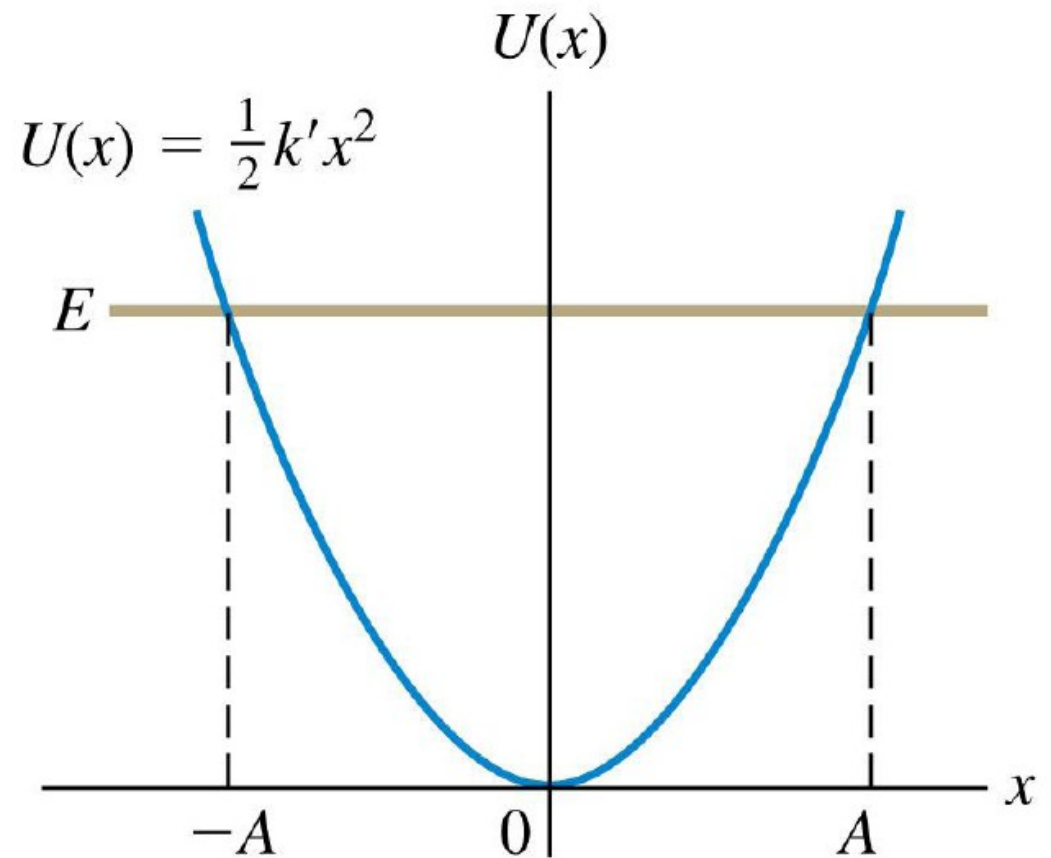
$$m \frac{d^2 x}{dt^2} = F = -k' x$$

General solution:

$$x(t) = A \cos(\omega t + \phi)$$

Oscillation frequency:

$$\omega = \sqrt{\frac{k'}{m}}$$



- **Quantum Harmonic Oscillator**

(We will only give a qualitative discussion)

Schrödinger equation: 
$$\frac{d^2 \psi(x)}{dx^2} + \frac{2m}{\hbar^2} \left( E - \frac{1}{2} k' x^2 \right) \psi(x) = 0$$

Define new variables: 
$$y = \sqrt{\frac{m\omega}{\hbar}} x \quad , \quad \epsilon = \frac{2E}{\hbar\omega}$$

$$\Rightarrow \frac{d^2 \psi}{d y^2} + (\epsilon - y^2) \psi = 0 \quad (\text{Eq.1})$$

Boundary conditions:

$$\psi \rightarrow 0 \quad \text{as} \quad |y| \rightarrow \infty$$

We state (without proof) that the mathematical properties of (Eq.1) and the boundary conditions require that:

$$\epsilon = 2n + 1 \quad (n = 0, 1, 2, \dots)$$

$$\epsilon = \frac{2E}{\hbar\omega} \quad \Rightarrow$$

$$E_n = \left( n + \frac{1}{2} \right) \hbar\omega \quad (n = 0, 1, 2, \dots)$$

Energy levels  
of harmonic  
oscillator

Ground state energy (  $n = 0$  ):

$$E_0 = \frac{1}{2} \hbar\omega$$

(also called **zero-point energy**)

Note: (Eq.1) can be solved exactly. Each wave function  $\psi_n$  is related to the so-called Hermite polynomial  $H_n(y)$ .

Example: The ground state wave function is

$$\psi(x) = C e^{-x^2/2b^2} \quad (b = \sqrt{\hbar/m\omega})$$

The normalization constant  $C$  is determined by

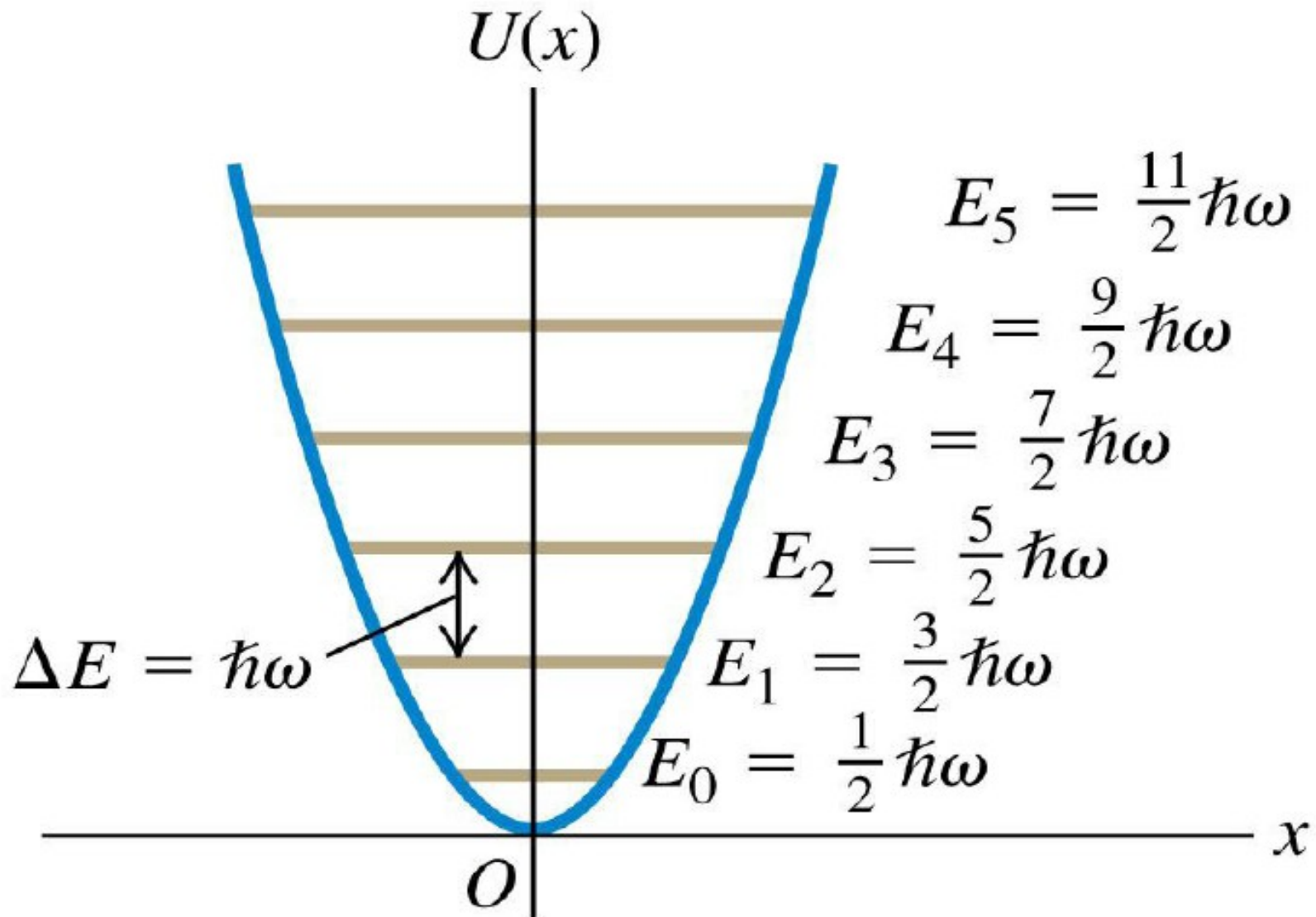
$$\int_{-\infty}^{\infty} |\psi(x)|^2 dx = 1$$

Note: Gaussian integral  $\int_{-\infty}^{\infty} e^{-a^2 x^2} dx = \frac{\sqrt{\pi}}{a}$

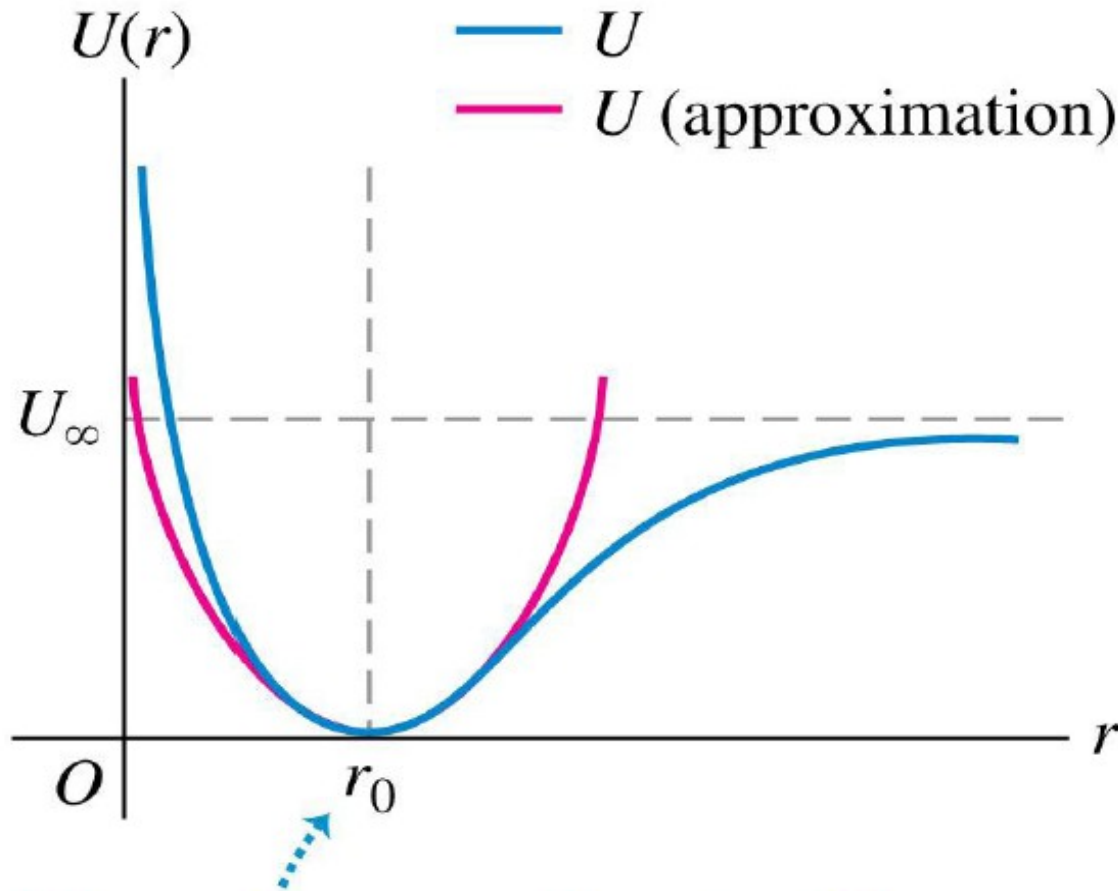


Note: The spacing between any two adjacent energy levels is

$$\Delta E = \hbar \omega$$



Example: The potential energy function describing the interaction of two atoms in a diatomic molecule



When  $r$  is near  $r_0$ , the potential-energy curve is approximately parabolic (as shown by the red curve) and the system behaves approximately like a harmonic oscillator.

# 8.5 Three-Dimensional Problems

(Optional)

One-dimensional Schrödinger equation:

$$-\frac{\hbar^2}{2m} \frac{d^2 \psi(x)}{dx^2} + U(x) \psi(x) = E \psi(x)$$

For a free particle ( $U = 0$ )  $\psi = A e^{ikx}$

Note: 
$$-\frac{\hbar^2}{2m} \frac{d^2 \psi(x)}{dx^2} = -\frac{\hbar^2}{2m} (A)(ik)^2 e^{ikx} = \frac{\hbar^2 k^2}{2m} \psi = \frac{p_x^2}{2m} \psi$$

  
K.E.

Schrödinger equation

=>

$$K \psi + U \psi = E \psi$$

In three dimensions:  $K = \frac{p_x^2 + p_y^2 + p_z^2}{2m}$

3D Schrödinger equation:

$$-\frac{\hbar^2}{2m} \left( \frac{\partial^2}{\partial x^2} + \frac{\partial^2}{\partial y^2} + \frac{\partial^2}{\partial z^2} \right) \psi + U \psi = E \psi$$

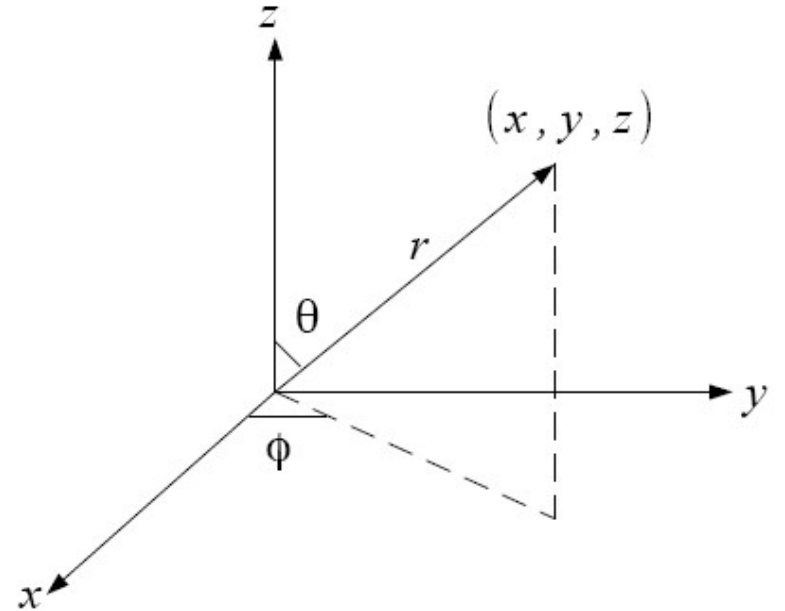
where  $U$  and  $\psi$  are functions of  $(x,y,z)$ .

Define:  $\nabla^2 = \frac{\partial^2}{\partial x^2} + \frac{\partial^2}{\partial y^2} + \frac{\partial^2}{\partial z^2}$  (Laplacian operator)  
in Cartesian coordinates

$$-\frac{\hbar^2}{2m} \nabla^2 \psi + U \psi = E \psi$$

In many practical problems, it is convenient to use spherical coordinates  $(r, \theta, \phi)$ :

$$\begin{aligned}x &= r \sin \theta \cos \phi \\y &= r \sin \theta \sin \phi \\z &= r \cos \theta\end{aligned}$$



=> Schrödinger equation in spherical coordinates:

$$-\frac{\hbar^2}{2m} \left\{ \frac{1}{r} \frac{\partial^2}{\partial r^2} (r \psi) + \frac{1}{r^2 \sin \theta} \left[ \frac{\partial}{\partial \theta} \left( \sin \theta \frac{\partial \psi}{\partial \theta} \right) + \frac{1}{\sin \theta} \frac{\partial^2 \psi}{\partial \phi^2} \right] \right\} + U \psi = E \psi$$

(No need to memorize!)